

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John H. Stevens, et al.

Examiner: unassigned

Serial No: unassigned

Art Unit: unassigned

Filed: unassigned

Docket: 15302ZYXWAZ (H-176)

For: MINIMALLY-INVASIVE
DEVICES AND METHODS
FOR TREATMENT OF
CONGESTIVE HEART FAILURE

Dated: September 17, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. US 2002/0111533 A1, dated August 15, 2002, issued to Melvin;
2. U.S. Patent No. US 2002/0029783 A1, dated March 14, 2002, issued to Stevens et al.;
3. U.S. Patent No. US 2002/0022880A1, dated February 21, 2002, issued to Melvin;
4. U.S. Patent No. US 2002/0007216 A1, dated January 17, 2002, issued to Melvin;

CERTIFICATE OF MAILING BY EXPRESS MAIL

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Date of Deposit: September 17, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Dated: September 17, 2003.



Thomas Spinelli

5. U.S. Patent No. US 6,283,993 B1, dated September 4, 2001, issued to Cosgrove et al.;
6. U.S. Patent No. US 6,258,021 B1, dated July 10, 2001, issued to Wilk;
7. U.S. Patent No. US 2001/0003986 A1, dated June 21, 2001, issued to Cosgrove;
8. U.S. Patent No. US 6,224,540 B1, dated May 1, 2001, issued to Lederman et al.;
9. U.S. Patent No. US 6,221,103 B1, dated April 24, 2001, issued to Melvin;
10. U.S. Patent No. US 6,217,610 B1, dated April 17, 2001, issued to Carpentier et al.;
11. U.S. Patent No. US 6,197,052 B1, dated March 6, 2001 issued to Cosgrove et al.;
12. U.S. Patent No. US 6,182,664 B1, dated February 6, 2001, issued to Cosgrove;
13. U.S. Patent No. 6,125,852, dated October 3, 2000, issued to Stevens et al.;
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16. U.S. Patent No. 6,024,756, dated February 15, 2000, issued to Huebsch et al.;
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19. U.S. Patent No. 5,971,911, dated October 26, 1999, issued to Wilk;
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22. U.S. Patent No. 5,856,614, dated January 5, 1999, issued to Hall;
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24. U.S. Patent No. 5,849,005, dated December 15, 1998, issued to Garrison et al.;
25. U.S. Patent No. 5,814,097, dated September 29, 1998, issued to Sterman et al.;
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27. U.S. Patent No. 5,800,528, dated September 1, 1998, issued to Lederman et al.;
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91. International Publication No. WO 96/40356, publication date of December 19, 1996;
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93. International Publication No. WO 95/16476, publication date of June 22, 1995;
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Pursuant to 37 C.F.R. §1.98(d), copies of the above listed references are not provided, as the references were previously submitted to the Examiner in the parent application Serial Number 09/950,917 filed on September 12, 2001.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no statement or fee is required.

Respectfully submitted,



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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>			Docket Number (Optional)		Application Number		
			15302ZYXWAZ (HRT-176)		unassigned		
			Applicant(s)		JOHN H. STEVENS, et al.		
			Filing Date		herewith		Group Art Unit
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 2002/0111533 A1	8/15/2002	Melvin			
		US 2002/0029783 A1	3/14/2002	Stevens et al.			
		US 2002/0022880 A1	2/21/2002	Melvin			
		US 2002/0007216 A1	1/17/2002	Melvin			
		US 6,283,993 B1	9/4/2001	Cosgrove et al.			
		US 6,258,021 B1	7/10/2001	Wilk			
		US 2001/0003986 A1	6/21/2001	Cosgrove			
		US 6,224,540 B1	5/1/2001	Lederman et al.			
		US 6,221,103 B1	4/24/2001	Melvin			
		US 6,217,610 B1	4/17/2001	Carpentier et al.			
		US 6,197,052 B1	3/6/2001	Cosgrove et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
		DE3614292	11/19/1987	Germany			YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
		0218275 A1	4/15/1987	EPO			
		0583012 A1	2/16/1994	EPO			
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER				DATE CONSIDERED			
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		Docket Number (Optional) 15302ZYXWAZ	Application Number unassigned
		Applicant(s) JOHN H. STEVENS, et al.	
		Filing Date herewith	Group Art Unit unassigned
*EXAMINER INITIAL	OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
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**Schedule of
Stanford Surgical Technologies, Inc.
U.S. Patent Properties**

Patent No. Issue Date	Serial No. File Date	Title	TTC/HI Docket Nos.
	08/261,167 06/17/94	Improved Surgical Stapling Instrument and Method Thereof	(Non TTC) 025
	08/023,778 02/22/93	Methods for Performing Thoracoscopic Coronary Artery Bypass (As amended)	14635-000300 005
	08/163,241 12/06/93	Devices and Methods for Intracardiac Procedures	14635-000310 010-CP
	08/173,899 12/27/93	Thoracoscopic Devices and Methods for Arresting the Heart	14635-000320 013-CP
	08/265,477 06/24/94	Endoscopic Vascular Clamping System and Method	14635-000330 017-CP
	08/376,330 01/20/95	Methods for Performing Thoracoscopic Coronary Artery Bypass (As amended)	14635-000340 005-D1
	08/415,273 04/03/95	Thoracoscopic Devices and Methods for Arresting the Heart	14635-000350 013-D1
	08/123,411 09/17/93	Endovascular System for Arresting the Heart	14635-000400 007-CP
	08/162,742 12/03/93	Cardiopulmonary Bypass System for Closed-Chest Intervention	14635-000410 012-CP
	08/135,387 10/08/93	Stereoscopic Percutaneous Visualization System	14635-000500 009
	08/227,366 04/13/94	Stereoscopic Percutaneous Visualization System	14635-000510 016-CP
	08/194,946 02/14/94	Endoscopic Microsurgical Instruments and Methods	14635-000700 014
	08/213,760 03/16/94	System for Performing a Cardiac Procedure	14635-000900 008

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U.S. Patent Properties**

Patent No. Issue Date	Serial No. File Date	Title	TTC/HI Docket Nos.
	07/991,188 12/15/92	System for Cardiac Procedures	14635-001600 003-CP
	08/310,818 09/22/94	System for Cardiac Procedures	14635-001610 003-F1
	08/159,815 11/30/93	Method for Intraluminally Inducing Cardioplegic Arrest and Catheter for Use Therein	14635-002000 015
	08/427,384 04/24/95	Method for Intraluminally Inducing Cardioplegic Arrest and Catheter for Use Therein	14635-002010 015-D1
5,370,685 12/06/94	07/730,559 07/16/91	Endovascular Aortic Valve Replacement	14635-002100 002
	08/206,419 03/04/94	Endovascular Aortic Valve Replacement	14635-002110 002-D1
	08/281,891 07/28/94	Methods and Systems for Performing Thoracoscopic Coronary Bypass and Other Procedures	14635-002200 006-CP
	08/281,962 07/28/94	Devices and Methods for Introcardiac Procedures	14635-002300 011-CP
	08/282,192 07/28/94	System for Cardiac Procedures	14635-002400 004-CP
	08/288,674 08/10/94	Surgical Knot Pusher and Method of Use	14635-002600 020-CP
	08/298,646 08/01/94	Device and Method for Isolating a Surgical Site	14635-002700 018
	08/294,454 08/23/94	Endoscopic Retraction System and Method	14635-002800 019-CP
	08/336,359 11/08/94	Fluid-Evacuating Electrosurgical Device	14635-003100 024
	08/351,850 12/07/94	Cardioplegia Catheter System	14635-003300 021

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